

RBE No.- 167/2019



सत्यमेव जयते

**Government of India(Bharat Sarkar)  
Ministry of Railways(Rail Mantralay)  
Railway Board.**

**No.E(D&A) 2019 GS1-1**

**New Delhi Dated:27/09/2019**

01/10/2019

**The General Managers,  
All Indian Railways,  
✓(As per standard list I & II).**

**Sub: Railway Services(Conduct) Rules, 1966- Revision of  
limit for intimation in respect of transactions in sale  
and purchase of shares, securities, debentures etc.**

A copy of the Department of Personnel's O.M. No.11013/6/2018-Estt. A-III dated 07.02.2019 relating to revision of limit for intimation of transactions in sale and purchase of shares, debentures, etc. is enclosed. Sub-rule (1) to Rule 16 and sub-rule(3) to Rule 18 of the CCS(Conduct) Rules, 1964 mentioned in the enclosed O.M. No.11013/6/2018-Estt. A-III dated 07.02.2019, correspond to sub-rule (1) to Rule 16 and sub-rule(3) to Rule 18 of the Railway Services (Conduct) Rules, 1966.

2. The instructions contained in the enclosed O.M. dated 07.02.2019 together with the proforma attached therewith, may be brought to the notice of all concerned for compliance.

Please acknowledge receipt.

**DA: As above.**


  
**(Sunil Kumar)  
Executive Director/Estt.  
Railway Board**

**No.E(D&A) 2019 GS1-1**

**New Delhi Dated:27/09/2019**

01/10/2019

Copy to: Federations etc.(As in Standard List III)

  
**(Renuka Nair)  
Dy.Dir.E(D&A)  
Railway Board**

Copy to :- ERB-I, ERB-II, ERB-III, ERB-IV, ERB(VI), E(O)I, E(O)II, Vig.I, Sec.(E)  
and E(G) Branches of Railway Board's Office.

भारत सरकार/GOVERNMENT OF INDIA  
रेल मंत्रालय/MINISTRY OF RAILWAYS  
(रेलवे बोर्ड/RAILWAY BOARD)

आरबीई सं. 167/2019

सं. ई(डी एण्ड ए) 2019 जीएस1-1

नई दिल्ली, दिनांक 01.10.2019

महाप्रबंधक,  
सभी भारतीय रेलें  
(मानक सूची I और II के अनुसार).

विषय: रेल सेवा (आचरण) नियम, 1966 - शेरों, प्रतिभूतियों, ऋणपत्रों आदि की बिक्री और खरीद में संव्यवहार से संबंधित सूचना देने हेतु सीमा में संशोधन।

शेरों, ऋणपत्रों आदि की बिक्री और खरीद में संव्यवहार की सूचना देने हेतु सीमा में संशोधन से संबंधित कार्मिक विभाग के दिनांक 07.02.2019 के कार्यालय ज्ञापन सं. 11013/6/2018-स्था. ए-III की एक प्रति संलग्न है। दिनांक 07.02.2019 के कार्यालय ज्ञापन सं. 11013/6/2018-स्था. ए-III में उल्लिखित केन्द्रीय सिविल सेवा (आचरण) नियम, 1964 के नियम 16 का उपनियम (1) और नियम 18 का उपनियम (3), रेल सेवा (आचरण) नियम, 1966 के नियम 16 के उपनियम (1) और नियम 18 के उपनियम (3) के सदृश हैं।

2. इस पत्र के साथ संलग्न दिनांक 07.02.2019 के कार्यालय ज्ञापन में अंतर्विष्ट अनुदेशों का अनुपालन करने के लिए इस कार्यालय ज्ञापन एवं उसके साथ संलग्न प्रोफॉर्मा को सभी संबंधितों के ध्यान में लाया जाए।

कृपया पावती दें।

संलग्नक: यथोक्त



(सुनील कुमार)  
कार्यपालक निदेशक/स्थापना  
रेलवे बोर्ड

सं. ई(डी एण्ड ए) 2019 जीएस1-1

नई दिल्ली, दिनांक 01.10.2019

प्रतिलिपि: संघ आदि (मानक सूची III के अनुसार)



(रेणुका नायर)  
उप निदेशक ई(डी एण्ड ए)  
रेलवे बोर्ड

प्रतिलिपि: रेलवे बोर्ड कार्यालय की ईआरबी-I, ईआरबी-II, ईआरबी-III, ईआरबी-IV, ईआरबी (vi), ई(ओ)I, ई(ओ)II, सतर्कता-I, संरक्षा (स्था.) और ई(जी) शाखाएं।

F. No. 11013/6/2018-Estt.A-III  
Government of India  
Ministry of Personnel, Public Grievances and Pension  
Department of Personnel & Training  
Establishment A-III Desk  
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North Block, New Delhi-110001  
Date: 07.02.2019

**OFFICE MEMORANDUM**

Subject: CCS (Conduct) Rules, 1964 - Revision in limit for intimation in respect of transactions in sale and purchase of shares, securities, debentures etc.

The undersigned is directed to refer to this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992 prescribing the following limit of transactions in shares, securities, debentures or mutual funds scheme, etc for intimation to Government in a prescribed format:

- (i) **Group 'A' and 'B' Officers** - If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 50,000/- during the calendar year.
- (ii) **Group 'C' and 'D' Officers** - If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 25,000/- during calendar year.

2. Sub-rule (1) of the Rule 16 provides that no Government servant shall speculate in any stock, share or other investment. It has also been explained that frequent purchase or sale or both, of share, securities or others investments shall be deemed to be speculation within the meaning of this sub-rule. But, the occasional investments made through stock brokers or other persons duly authorized and licensed or who have obtained a certificate of registration under the relevant laws is allowed in this rule. With a view to enable the administrative authorities to keep a watch over such transaction, it has been decided that an intimation may be sent in the enclosed proforma to the prescribed authority in respect of all Government servants, if the total transactions in shares, securities, debentures, mutual funds scheme, etc. exceeds **six months' basic pay of Government servant** during the calendar year (to be submitted by 31<sup>st</sup> January of subsequent calendar year).

Contd..

3. It is also clarified that since shares, securities, debentures, etc. are treated as movable property for the purpose of Rule 18(3) of CCS(Conduct) Rules, 1964, if an individual transaction exceeds the amount prescribed in Rule 18(3), the intimation to the prescribed authority would still be necessary. The intimation prescribed in para 2 above will be in addition to this, where cumulative transaction(s) i.e. sale, purchase or both in shares, securities, debentures or mutual funds, etc. in a year exceed the limits indicated in para 2 above.
4. This Office Memorandum issues in supersession of this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992.
5. In so far as the personnel serving in Indian Audit and Accounts Department are concerned, these instructions are being issued after consultation with the Comptroller and Auditor General of India.
6. All Ministries/ Departments are requested to bring these instructions to the notice of all concerned authorities under their control.
7. Hindi version will follow.

  
(Satish Kumar)

Under Secretary to the Govt. of India

To

The Secretaries of All Ministries/Departments  
(as per the standard list)

Copy to:

1. President's Secretariat, New Delhi.
2. Vice-President's Secretariat, New Delhi.
3. The Prime Minister's Office, New Delhi.
4. Cabinet Secretariat, New Delhi.
5. Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.
6. The Comptroller and Auditor General of India, New Delhi.
7. The Secretary, Central Vigilance Commission
8. The Secretary, Union Public Service Commission, New Delhi.
9. The Secretary, Staff Selection Commission, New Delhi.
10. All attached offices under the Ministry of Personnel, Public Grievances and Pensions.

Contd..

11. National Commission for Scheduled Castes, New Delhi.
12. National Commission for Scheduled Tribes, New Delhi.
13. National Commission for OBCs, New Delhi.
14. Secretary, National Council (JCM), 13, Feroze Shah Road, New Delhi.
15. CVOs of all Ministries/Departments.
16. ADG (M&C), Press Information Bureau, DoP&T
17. NIC, Department of Personnel & Training, North Block, New Delhi [for uploading the same on the website of this Ministry under the Head Notifications >> OMs & Orders >> Establishment >> CCS (Conduct) Rules & What's New]
18. Hindi Section, DoP&T



(Satish Kumar)

Under Secretary to the Govt. of India

**Proforma for intimation under Rule 18(4) of CCS (Conduct) Rules, 1964 for transactions in shares, securities, debentures, investment in mutual fund schemes, etc.**

1. Name of the Government servant : \_\_\_\_\_
  - (a) Designation: \_\_\_\_\_
  - (b) Service to which belongs: \_\_\_\_\_
  - (c) Employee No./ Code No.: \_\_\_\_\_
2. Scale of Pay and present pay: \_\_\_\_\_
3. Details of each transaction made in shares, securities, debentures, mutual funds scheme, etc. during the calendar year. \_\_\_\_\_
4. Particulars of the party/firm with whom transaction(s) is made:-
  - (a) Is party related to the applicant? \_\_\_\_\_
  - (b) Did the applicant have any dealings with the party in his/her official capacity at any time or is the applicant likely to have any dealings with him in the near future. \_\_\_\_\_
5. Source(s) from which financed:-
  - (a) Personal savings.
  - (b) Other sources giving details
6. Any other relevant fact which applicant may like to mention.

**Declaration**

I hereby declare that the particulars given above are true.

Place:

Date:

Signature  
Designation